



# PRIVACY POLICY NOTICE

**Bridgepoint Advisory** has adopted this privacy policy in recognition that protecting the privacy and security of the personal information we obtain about our customers is an important responsibility. We also know that you expect us to serve you accurately and efficiently. To do so, we must collect and maintain certain personal information about you. We want you to know what information we collect and how we use and safeguard that information.

## Information We Collect

We collect certain nonpublic information about you (“Customer Information”). The essential purpose for collecting Customer Information is to allow us to provide services to you. Customer Information we collect may include:

- Information that you provide on applications or other forms. This Customer Information may include personal and household information such as income, spending habits, investment objectives, financial goals, statements of account, and other records concerning your financial condition and assets, together with information concerning employee benefits and retirement plan interests, wills, trusts, mortgages, and tax returns.
- Identifying information such as your name, age, address, social security number, etc.
- Information about your transactions with us or others

## Information We Disclose

We do not disclose the nonpublic personal information we collect about our customers to anyone except:

1. In furtherance of our business relationship with them, and then only to those persons necessary to effect transactions
2. To our attorneys, accountants, and auditors, or
3. As otherwise provided by law.

We are permitted by law to disclose nonpublic personal information about you to governmental agencies and other third parties in certain circumstances (such as third parties that perform administrative services on our behalf). These third parties are prohibited from using or sharing the information for any other purpose.

## Former Clients

If you decide to close your account(s) or become an inactive customer, we will continue to adhere to our privacy policies, which may be amended from time to time.

## **Changes to Our Privacy Policy**

In the event there is a material change to our privacy policy regarding how we use your confidential information, we will provide written notice to you. Where applicable, you would be allowed to limit or opt out of such disclosure arrangements.